



**Girik Multicap Growth Equity Fund**

**Stewardship Code**

As per SEBI Circular No. CIR/CFD/CMD1/168/2019 dated 24<sup>th</sup> December 2019, the Fund has formulated the Stewardship Code which includes the following principles:-

**Principle 1:- Discharge of Stewardship responsibilities:**

It includes the following:

1. Monitoring and actively engaging with investee companies on various matters including performance, strategy, corporate governance, material environmental, social, and governance opportunities or risks, capital structure, etc.
2. Periodical review and update of such policy and publicly disclosed.

**Principle 2:- Managing conflicts of interest:**

The conflict of interest policy is formulated and it addresses the following:

1. Identifying possible situations where conflict of interest may arise.
2. Procedures in place in order to resolve conflicts as they arise.
3. Periodical review and update of such policy and public disclosure.

**Principle 3:- Monitoring the investee companies:**

It includes the following:

1. Monitoring of the investee companies in respect of all aspects which shall include performance of the companies, corporate governance, strategy, risks etc.
2. Identification of levels of monitoring for different investee companies, areas for monitoring, mechanism for monitoring etc.
3. Identification of situations which may trigger communication of insider information and the procedures adopted to ensure insider trading regulations are complied with.

**Principle 4:- Intervention in investee companies:**

It includes the following:

1. Identification of circumstances for active intervention in the investee companies and the manner of such intervention, as and when required.
2. Identification of various levels of intervention and circumstances along with its disclosure.

**Principle 5:- Voting and the disclosure of voting activity:**

It includes the following:

1. Disclosure of voting including periodicity, manner, etc.
2. Disclosure of use of proxy voting or other voting advisory services.

**Principle 6:- Periodically report on stewardship activities:**

Any updation of policy may be disclosed as and when done.